

**Anode Sustainability Certification Promotion
Services Co.,Ltd.**

安诺德(青岛)检验检测认证有限公司

Product Certification Rules for Better Cotton

Chain of Custody

良好棉花监管链标准产品认证规则

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Approved by: Joseph Zhao/赵保安

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Foreword/前言

Anode Sustainability Certification Promotion Services Co., Ltd. (ASCP for short), was established in 2020. ASCP is registered with CNCA under registration CNCA-R-2020-073 / 安诺德（青岛）检验检测认证有限公司，成立于 2020 年，ASCP 在 CNCA 注册，注册号为 CNCA- R-2020-073。

ASCP strictly implements the regulations of the Peoples Republic of China on Certification and ISO/IEC17065 accreditation requirements as well as other relevant accreditation norms. ASCP does not engage in any activities that impair impartiality provides services to all certification applicants on an equal basis, and is fully committed to the CCAA requirements industry self-discipline. / ASCP 严格执行《中华人民共和国认证条例》和 ISO/IEC17065 认证要求及其他相关认证规范。ASCP 不从事任何有损公正性的活动，平等为所有认证申请人提供服务，接受 CCAA 行业自律的管理要求。

This ASCP procedure was developed to cover all relevant certification requirements for the following sustainable textiles certification scheme: Better Cotton Chain of Custody Standard. This procedure describes the certification process and requirements for companies that wish to become certified against Better Cotton Chain of Custody Standard and are part of ASCP contractual agreement. / ASCP 此程序是为了满足以下可持续纺织品产品认证方案的所有相关认证要求而制定的：良好棉花监管链标准。本程序描述了希望根据良好棉花监管链标准获得认证的公司的认证过程和要求，该认证是 ASCP 认证计划合同协议的一部分。

Note: 注:

CNCA-Certification and Accreditation Administration of the People's Republic of China

CNCA-中国国家认证认可监督管理委员会的英文缩写

CCAA-China Certification and Accreditation Association

CCAA-中国认证认可协会的英文缩写

ASCP- Anode Sustainability Certification Promotion Services Co.,Ltd.

ASCP-安诺德（青岛）检验检测认证有限公司

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This procedure is version A/1, issued on April 30, 2025, and implemented on April 30, 2025 and Updated to Version A1/1 on February 28, 2026 in accordance with the rectification requirements of Document No. 9. This Procedure is updated to Version A02 on July 9, 2026 as per correction requirements. 此程序文件是 A/1 版本，于 2025 年 4 月 30 号发布，2025 年 4 月 30 号实施，2026 年 2 月 28 日按照 9 号文件整改要求更新为 A1/1 版本。本程序依据整改要求，于 2026 年 7 月 9 日更新至 A02 版本。

ASCP requires all departments and all employees (including full-time/part-time auditors and technical experts) to conscientiously implement the company's quality policy and strictly implement the provisions and requirements of this procedure. / ASCP 要求各部门和全体员工(包括全职/兼职审核员和技术专家)认真贯彻公司的质量方针，严格执行本程序的规定和要求。

All ASCP staff are required to carefully study and implement the instructions for implementing this procedure. / 要求 ASCP 全体人员认真学习并贯彻对此文件发布实施的指令。

General Manager: Zhao Baoan

总 经 理：赵保安

July 03, 2026

2026 年 7 月 9 号

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1. Scope/范围

This rules sets forth the basic principles and impartiality commitment followed by ASCP in the implementation of audit and Sustainable Textile product certification, and makes clear provisions for ASCP organizations to ensure compliance with all relevant certification requirements. /本规则阐

述了 ASCP在实施纺织品产品认证审核和可持续认证时所遵循的基本原则和公正承诺，并为ASCP组织确保符合所有相关认证要求作出了明确规定。

This rules is an integral part of ASCP contractual agreement for both Better Cotton Chain of Custody Standard product certification scheme.

本规则是 ASCP的良好棉花监管链标准产品认证计划合同协议的组成部分。

- The Better Cotton CoC Standard requirements are applicable globally for all supply chain organisations that are buying or selling physical Better Cotton or fulfilling Better Cotton Mass Balance orders. These include (but are not limited to) middlemen and markets for raw seed cotton, ginner, merchants, lint traders, mills with spinning capabilities, mills or suppliers without spinning capabilities (including fabric mills, dyeing mills, yarn and/or fabric traders, vertical mills), end-product manufacturers, sourcing agents, and retailers and brands with their own manufacturing capabilities. Organisations shall include sub-contracted (outsourced) activities within their verification scope where applicable. The Better Cotton CoC Standard applies to products containing physical virgin cotton produced in accordance with the Better Cotton Principles and Criteria and equivalent schemes, and cotton containing products sourced as Mass Balance orders. At this time, materials that are produced as by products of manufacturing processes, and any form of reusable waste (such as combing noils) may not be sold with a physical Better Cotton claim.

良好棉花监管链标准适用于全球所有的供应链企业，无论您是买卖实物良好棉花，还是以进销存平衡订单的方式采购，都需要遵守这个标准。这些企业包括（但不限于）籽棉贸易商、籽棉交易市场、轧花厂、棉商、纺纱厂、没有纺纱业务的工厂或供应商（包括面料厂、染厂、纱线和面料贸易商、垂直一体化工厂），成品制造商、成品采购中间商以及零售商和品牌商。如果您有分包（外包）的活动，在适用情况下，也要把它们纳入认证范围内。良好棉花监管链标准适用于根据良好棉花原则与标准生产的或按照与Better Cotton 等效的比对标准生产的原棉以及作为进销存平衡订单采购的含棉产品。目前，生产过程中产生的副产品和任何形式的可再利用废料(如粗梳废棉)不能以实物良好棉花的名义出售。

Better Cotton reserves the right to reject any application to the CoC programme. Organisations have the option to appeal any decision made because of an assessment or monitoring activity, and complaints received shall be handled as per the Better Cotton Complaints Policy. Better Cotton recognises that in some exceptional circumstances, a requirement may not be relevant or applicable in a specific context. In addition, Better Cotton Supply Chain Actors may at times be faced with unexpected or structural circumstances which prevent full compliance. To account for these situations, Better Cotton has a derogation process. Better Cotton maintains a list of derogations applicable to the CoC Standard, which are available here: <https://bettercotton.org>.

良好棉花有权力拒绝任何企业加入监管链计划。如果企业对评估或监督结果不满意，可以提出申诉，良好棉花会按照投诉政策进行处理。良好棉花意识到有时候会遇到一些特殊情况，某项要求可能在特定的环境中不相关或不适用。除此之外，良好棉花供应链参与方有时可能面临意外或结构性的情况，不能完全符合要求。为了应对这些情况，良好棉花设有特例处理程序。良好棉花保留着适用于监管链标准的特例清单，您可以在这里了解更多信息：[HTTPS://BETTERCOTTON.ORG](https://bettercotton.org).

2. Reference Standards/引用标准

Document Name/文件名	Type/类型
Better Cotton Chain of Custody Standard/良好棉花监管链标准 https://bettercotton.org/documents/chain-of-custody-standard-v1-2/	Certification standard/认证标准
Chain of Custody Monitoring and Certification Requirements/监管链监督和认证要求 chrome-extension://blegnhaaimfcklgddeegngmanbnfopog/ https://bettercotton.org/wp-content/uploads/2025/08/final-coc-mac-v1.2.pdf	Normative/规范
Better Cotton Claims Framework/良好棉花声明框架 https://bettercotton.org/wp-content/uploads/2025/10/Claims-Framework-v4.1.pdf	Normative/规范
Certification-Key-Terms-and-Definitions/术语和定义 https://bettercotton.org/wp-content/uploads/2025/01/Certification-Key-Terms-and-Definitions.pdf	Normative/规范

3. Term and definition/术语和定义

Audit/审核: An evaluation undertaken by a certification body to verify conformity with a Standard.

It may be conducted on-site or remotely and may involve visual inspection, interviews and/or document reviews./ 认证机构为验证是否符合某一标准而进行的评价。审核可以在现场进行，也可以远程进行，还可能包括目视检查、面谈和/或文件审查。

Auditor/审核员: A certification body representative who conducts audits./进行审核的认证机构代表人员

Certification Body/认证机构: An accredited third-party carrying out auditing and certification in accordance with the provisions set out in the applicable Standard and the ACP. An accredited certification body may issue scope certificates and transaction certificates./ 获认可的第三方根据适用的标准及核心计划所载的条文进行审核及认证。经认可的认证机构可以颁发范围证书和交易证书。

Certification/认证: A status awarded by a third-party certification body to a producer, supply chain company or retail brand to confirm their compliance with the relevant Better Cotton Standard and requirements has been confirmed. This certification status, together with other Better Cotton registration/ approval steps as relevant, gives the producer, supply chain company or retail brand the right to trade Better Cotton and/or make Better Cotton claims./由第三方认证机构授予生产者、供应链公司或零售品牌的一种状态，以确认其符合相关的 Better Cotton标准和要求。这种认证状态，连同其他相关的 Better Cotton注册/批准步骤，赋予了生产者、供应链公司或零售品牌交易 Better Cotton 和/或提出 Better Cotton 声明的权利。

Non-conformity/ 不符合项: A gap identified in compliance with a clause/ indicator of a Better Cotton Standard./在遵守 Better Cotton 标准的某一条款或指标时所识别出的差距。

Certified Organization/认证组织: An organization which holds a scope certificate./持有范围证书的组织。

Organization/组织: A legal entity which is certified to or in the process of becoming certified to a Standard. 已达到或正在达到某一标准的法定实体。

Surveillance audit/监督审核: All auditing activities carried out by the Certification Body that take place between the full audits of initial certification and certification renewal./由认证机构在初次认证和认证更新的全面审核之间执行的所有审核活动。

Cancellation of certification/取消认证: When a producer or a supply chain actor voluntarily stops their certification or has become ineligible./当生产者或供应链中的一个参与者自愿停止认证，或者不再符合资格时。

Suspension of certification/ 暂停认证: A valid certificate is in place but for a period of this certificate the certification status is suspended by the Certification Body pending certain conditions being met which will lift the suspension. During the suspension the ability to trade Better Cotton or

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make Better Cotton claims is withheld. The certificate expiry date remains unchanged. Surveillance and corrective action activities are still required during the suspension period./有效的证书已经到位，但在该证书的某个期间内，由于某些条件未满足，认证状态被认证机构暂停。在暂停期间，交易良好棉花或提出良好棉花声明的能力将被暂时取消。证书的有效期保持不变。即使在暂停期间，仍需要进行监督和纠正措施活动。

Withdrawal of certification/ 撤销认证：When a producer or CoC organization has their certification withdrawn by a certification body as a penalty due to the conditions of a suspension not having been met or other situations defined in the P&C or CoC monitoring and certification requirements. Right to trade Better Cotton or make Better Cotton claims is withdrawn and a full new application for certification is required. This may only be possible after a certain period of time has passed as defined in the P&C or CoC monitoring and certification requirements./当生产者或 CoC 组织因未能满足暂停条件，或未能遵守 P&C 或 CoC 监控和认证要求中定义的其他情况，而被认证机构处罚撤销认证时，其交易 Better Cotton 或提出 Better Cotton 声明的权利将被取消，同时需要重新申请完整的新认证。根据 P&C 或 CoC 监控和认证要求的规定，这种重新申请可能仅在经过特定时间后才可进行。

Related Document Name/相关文件名	Type/类型
Certification-Key-Terms-and-Definitions/术语和定义 https://bettercotton.org/documents/certification-key-terms-and-definitions/	Normative/规范

4. General Requirements/一般要求

4.1 Legal and contractual matters/法律及合约事宜

4.1.1 Certification agreement/认证协议

ASCP signs a legally binding certification agreement with all its customers, which is formulated in accordance with the relevant requirements of ISO17065 and General Certification Requirements for Certification Bodies. This procedure is an integral part of the contractual agreement between ASCP and each customer for Better Cotton Chain of Custody Standard./ ASCP根据 ISO17065 的相关要求和认证机构通用认证要求，与所有组织签订了具有法律约束力的认证协议。此程序是 ASCP与组织之间关于良好棉花监管链标准的合同协议的组成部分。

The customer shall sign the agreement to contract demonstrate its commitment to comply with the requirements of the relevant certification scheme. ASCP will not be in a position to offer any certification services prior to the agreement being signed by both parties./ 组织须签署合约协议，以显示其遵守有关认证计划要求的承诺。在双方签署本协议之前，ASCP无法提供任何认证服务。

The agreement can be terminated as follow: /本协议终止方式如下：

- ASCP's customer has the right to withdraw from the certification agreement through formal written notification with at least 30 days notification./ ASCP的客户有权在 30 天内以正式书面形式通知撤销认证协议。

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- ASCP reserves the right to terminate the certification agreement for failure to pay the agreed certification fees or if the organization fails to comply with the certification requirements as outlined in this document./ ASCP保留终止认证协议的权利，如果该组织未能支付约定的认证费用或未能遵守本文件中概述的认证要求。

ASCP specifically requires its customers to keep records of all complaints related to certification requirements and provide these records to ASCP./ ASCP特别要求组织保存所有与认证要求有关的投诉记录，并将这些记录提供给 ASCP。

During the audit, the customer complaint records are regularly checked./ 在审核过程中，定期检查组织投诉记录。

4.1.2 Management of Impartiality/公正性管理

In compliance with ISO 17065 requirements, ASCP is committed to provide impartial certification services while managing any potential conflict of interest. ASCP has implemented procedures that do not discriminate or limit access to certification. ASCP's services are open to all applicants whose activities are within the scope of ASCP's operations. ASCP does not restrict the implementation of certification to the size of the customer, the membership of an association or body, or the number of certificates already issued. Top management makes the following commitment to impartiality in certification service: / 遵照 ISO 17065 的要求，ASCP致力于提供公正的认证服务，同时管理任何潜在的利益冲突。ASCP实施了不歧视或限制获得认证的程序。凡在 ASCP经营范围内活动的申请人，均可享受 ASCP的服务。ASCP不以组织规模、协会或机构的会员资格或已颁发证书的数量来限制认证的实施。最高管理层对认证服务过程中的公正性声明如下：

- ASCP top management has a deep understanding of the importance of impartiality in the implementation of certification activities, providing impartial and competent certification services to all parties concerned, and providing trust to all parties concerned ASCP;/最高管理层深刻理解公正性在实施认证活动中的重要性，为所有认证相关方提供公正、有能力的认证服务，向所有认证相关方提供信任；
- ASCP always insists on independence and impartiality, making decisions on granting or not granting certification only based on inspection evidence;/ ASCP始终坚持独立与公正，仅根据检查证据做出授予或不授予认证的决定；
- ASCP fully recognizes, analyzes and documents conflicts of interest arising from certification activities, and effectively manages and controls activities and relationships that affect impartiality. To ensure that impartiality is not affected in any way by other interests or other parties (units or individuals); /ASCP对由认证活动引起的利益冲突进行充分认识和分析并形成文件，对影响公正的活动和关系进行有效管理和控制。确保公正性不受其他利益或其他各方（单位或个人）的任何影响；

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- ASCP does not provide consulting services in any way, does not cooperate with any consulting institutions, independently signs certification contracts, and does not imply that if the applicant chooses a consulting or training institution, it can make certification simpler, easier, faster or cheaper, nor does it allow any link or statement of consulting institutions to declare or imply;/ASCP不提供任何方式的咨询服务，不与任何认证咨询机构有合作关系，独立签署认证合同，更不暗示申请方若选择某家咨询或培训机构，可使认证更为简单、容易、迅速或廉价，也不允许任何咨询机构的链接或声明宣称或暗示；
- ASCP certification personnel (including management and inspection personnel) who have provided certification consultation to the organization shall not be used for inspection or other certification activities against the organization for two years after the completion of the consultation;/ASCP的认证人员（包括管理人员、检查人员）如曾经对组织提供过认证咨询，在咨询结束后两年内，不被用于针对该组织的审核/检查或其他认证活动；
- ASCP does not provide any other product and service that may impair the confidentiality, objectivity or impartiality of its certification process and decisions, and actively manages the identification, elimination and reduction of these risks./ ASCP不提供任何其他可能损害其认证过程和决策的保密性、客观性或公正性的产品和服务，并积极管理这些风险的识别、消除和减少。
- All ASCP employees, whether internal or external, or members of committees and board are committed to acting impartially, disclosing any potential or existing conflicts of interest, or any situation (commercial, financial, personal or any other relationship) that may pose a risk to impartiality./ 所有 ASCP员工，无论是内部还是外部，或委员会的成员、董事会成员都致力于公正行事，披露任何潜在的或现有的利益冲突，或任何可能对公正构成风险的情况(商业、财务、个人或任何其他关系)。
- All ASCP employees in any occasion will not accept gifts from certification organizations, including gifts, gifts, securities, jewelry, etc;/ ASCP所有工作人员在任何场合均不收受认证组织的馈赠，包括礼金、礼品、有价证券、珠宝首饰等；
- ASCP auditors shall not participate in the banquets and entertainment arranged by the organization when performing their inspection tasks./ASCP检查人员在执行检查任务时，不参加受检查方安排的宴请和娱乐活动。
- ASCP and any affiliate under the same legal entity shall not produce, trade, manufacture, or otherwise handle cotton from Better Cotton certified producers or suppliers. Additionally, ASCP and its affiliates shall not offer or provide consultancy services related to Better Cotton certification to organizations, including but not limited to the evaluation or internal auditing of management systems./ASCP及其同一法律实体下的任何关联公司不得生产、交易、制造或以其他方式处理来自良好棉花认证生产者或供应商的棉花。此外，ASCP及其关联公司不得向组织提供与良好棉花认证相关的咨询服务，包括但不限于管理系统的评估或内部审核。

Note: ASCP may decline application for certification in case of justified reasons, such as customer involvement in illegal activities, or past repeated non-compliance with certification requirements and/or product requirements./ 注:如有正当理由，如组织参与非法活动，或过去多次违反认证要求和/或产品要求，ASCP可拒绝认证申请。

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4.1.3 Management of Confidential Information/保密信息的管理

Through the legally binding contractual agreement, ASCP is responsible for the confidential management of all information obtained or generated during the certification process. All information will be treated as confidential with the following exceptions:/ 通过具有法律约束力的合同协议，ASCP负责对认证过程中获得或产生的所有信息进行保密管理。所有信息将被视为机密，但以下情况除外：

- Information disclosed by the customer itself or as agreed between ASCP and the customer, if in response to a complaint./ 组织自身或 ASCP与组织达成协议，因投诉而披露的信息。
- When ASCP is required to make publicly available information that maybe considered as confidential information, ASCP will notify the organization in advance./ 当 ASCP被要求公开可能被视为机密信息的信息时，ASCP将提前通知组织。
- When ASCP is required to disclose some information based on legal requirements. ASCP will notify Better Cotton and the customer/individual concerned of the information provided, unless restricted by law./ 当 ASCP根据法律要求需要披露某些信息时。除非法律另有规定，否则 ASCP会将所提供的资料通知有关组织或个人以及良好棉花。

Information about customers obtained from sources other than customers (such as complainants, regulatory authorities) shall be treated as confidential information./ 从组织以外的来源(如投诉者、监管机构)获得的组织信息应被视为机密信息。

Files presented to any relevant ASCP committees are prepared in such a way that no details are included that may identify the organization or the products in question and all sensitive information is removed./ 提交给 ASCP任何相关委员会的文件均不包括可能识别组织或相关产品的细节，并删除所有敏感信息。

The confidentiality obligations in regard to the customer confidential information that set forth in this Section shall survive the termination or expiration of the Agreement for ASCP for a period of five years./关于本节中规定的组织保密信息的保密义务，在 ASCP协议终止或到期后，将继续有效五年。

4.1.4 Disclosure of public information/公开信息的披露

ASCP(through a public file, website, or other means) provides the following information upon

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request by relevant stakeholder: /ASCP(通过公开文件、网站或其他方式)应相关利益相关者的要求提供以下信息:

- a) ASCP is committed to making information publicly available regarding its management structure, certification procedures, appeals and complaints procedures. /ASCP致力于将其管理结构、认证程序、申诉和投诉程序等信息公开。
- b) Information about (or relating to) certification programmes, including evaluation procedures, rules and procedures for approving, maintaining, extending or reducing the scope of certification, suspending, withdrawing or refusing certification; /有关(或与)认证计划有关的资料, 包括批准、维持、扩大或缩小认证范围、暂停、撤销或拒绝认证的评估程序、规则和程序;
- c) Description of ASCP general information on fees charged to applicants and organizations;/ ASCP对申请人和组织收取的费用的一般资料说明;
- d) Description of the rights and obligations of the applicant and the organization, including requirements, constraints or restrictions on the use of the ASCPname and certification mark and the manner in which the certification conclusion is referred;/ 申请人和组织的权利和义务的描述, 包括对使用 ASCP名称和认证标志的要求、约束或限制, 以及提交认证结论的方式;
- e) Information on complaints and appeal procedures./ 有关投诉及申诉程序的资料。
- f) ASCP will inform organizations about any change or update to the relevant certification standard or procedure./ 有关认证标准或程序的任何变更或更新, ASCP都会通知相关组织。
- g) No information related to a specific product or supplier shall be provided to any organization without the written consent from the relevant supplier./未经相关供应商的书面同意, 不得向任何组织提供与特定产品或供应商相关的信息。

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4.1.5 Derogation to certification requirements/认证要求的放宽或免除

ASCP will not grant any derogation against any certification requirements. In case when a specific situation may warrant an exception or derogation, ASCP will refer the case back to Better Cotton./ ASCP不会对任何认证要求给予任何减损。如有特殊情况需要放宽或免除，ASCP会将个案转介绍给良好棉花。

4.1.6 Variations/变化

When individual organizations seek to deviate from any of the requirements outlined in the Better Cotton normative documents they must first consult with and obtain written approval from ASCP before submitting a variation request to the Better Cotton team. This approval should be evidenced along with the variation request, which needs to be submitted via email to compliance@bettercotton.org. The request should detail the specific requirement from which they wish to deviate and provide justification for this deviation./当组织希望偏离良好棉花规范文件中的任何要求时，必须首先与 ASCP 进行咨询并获得书面批准，然后才能向“良好棉花”团队提交变更请求。此批准应与变更请求一同作为证据提交，变更请求需通过电子邮件发送至 compliance@bettercotton.org。请求中应详细说明他们希望偏离的具体要求，并为此偏离提供正当理由。

5. Certification process/认证流程

5.1 General/总则

The audit cycle for organization in the Better Cotton supply chain is defined on a 3-year cycle as in the example below./良好棉花供应链中组织的审核周期定义为 3 年周期，如下例所示。

Year 0	Year 1	Year 2	Year 3
01-May-25	01-May-26	01-May-27	01-May-28
Initial on-site certification assessment/初始现场认证评估	Self-Assessment/自我评估	Self-Assessment/自我评估	On-site re-certification assessment/现场再认证评估

It should be noted that the type of audit can vary if a negative decision is reached or if heightened risk factors have been identified in the organization/应该注意的是，如果做出了否定的决定，或者在组织发现了更高的风险因素，审核的类型可能会有所不同。

The organization shall ensure that they arrange a re-audit to be conducted at least 60 days prior to the certificate expiry date. ASCP shall follow all the same processes and steps at a re-certification audit as is done for an initial certification audit./组织应确保在证书有效日前至少 60 天安排重新

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审核。ASCP在再认证审核中应遵循与初始认证审核相同的所有流程和步骤。

The certification process includes the following steps that are detailed in this document:/ 认证过程包括以下步骤，详见本文件：

- Application to ASCP organization/组织向ASCP提交申请
- Application review and approval or rejection by ASCP/申请评审，由ASCP批准或拒绝
- Formalisation of contractual agreement between ASCP and the organization/ASCP和组织之间签署正式化合同协议
- Initial certification audit with document review and onsite audit/首次认证审核，包括文件评审和现场审核。
- Certification recommendation by ASCP audit team following completion of document review and onsite audit/完成文件审核和现场审核后，由ASCP审核组提出认证建议
- ASCP internal technical review to ensure conformity with relevant certification requirements/ASCP内部技术评审，确保符合相关认证要求
- ASCP certification decision/ ASCP认证决定
- Self-assessment and surveillance audit to ensure that conformity is maintained/自我评估和监督审核以确保符合性保持
- organization maybe required to address any nonconformity that may have been identified during any of ASCP document review and/or onsite audit/组织可能需要解决ASCP文件评审和/或现场审核中发现的任何不符合项
- Certificates shall be valid for a maximum of three years from the date of issue. /证书的有效期限从签发之日起最长为三年。

Please note that during the certification cycle, a company may decide to change certification body. In such situation specific requirements have to be followed as indicated in this certification procedure./ 请注意，在认证周期中，公司可能会决定更换认证机构。在这种情况下，必须遵循本认证程序中所述的具体要求。

5.2 Certification application/认证申请

ASCP will require receiving the application form filled in with all the relevant information and signed by the legal representative or authorized representative of the applicant organization. The following document will need to be submitted:/ ASCP将要求收到填妥所有相关资料并由申请人机构法人代表或授权代表人签署的申请表。申请人须提交以下文件：

- The relevant ASCP Certification application form/有关的 ASCP认证申请表格

When receiving an application from a potential organization, ASCP will check that the information provided is complete and if it is the case will send to all applicants the following information:/ 当收到潜在组织的申请时，ASCP将检查所提供的信息是否完整，如果是这样，ASCP将向所有申请人发送以下信息：

- The commercial proposal and contractual agreement to signed by the legal representative of the applicant organization. By signing the contractual agreement, the applicant organization

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agrees to ASCP terms and conditions and to ensure conformity with all relevant certification requirements/由申请组织的法定代表签署的商业计划书及合约协议。申请组织签署合约协议，即表示同意 ASCP的条款和条件，并确保符合所有相关的认证要求

- The latest valid version of the Better Cotton Chain of Custody standard/有关标准的最新版本
- The ASCP certification procedure/ASCP认证程序文件
- Better Cotton Claims Framework/良好棉花声明框架
- The audit plan will be sent at least two weeks prior to the first day of the onsite audit. ASCP audit plan includes the list of all ASCP qualified auditors and technical experts to be involved in the initial document review and certification audit. The applicant organization can object to any ASCP listed auditor or technical expert in case of verified and confirmed conflict of interest./Where a short-notice audit is required, the CB shall inform the applicant at least 48 hours in advance of the audit being conducted and no more than 3 days. 审核计划将在现场审核的第一天前至少两周发送，ASCP审核计划包括所有参与初始文件审核和认证审核的 ASCP合格审核员和技术专家名单。如果证实有利益冲突，申请组织可以反对任何 ASCP列出的审核员或技术专家。如需进行突击审核(短通知审核)，认证机构应至少提前48小时通知申请人且不得超过3个工作日。

The CB shall consider in audit planning any areas of risk identified by BCI, this may include but is not limited to risks identified through open-source intelligence (OSINT). 审计规划阶段，CB必须考虑BCI通过开源情报 (OSINT) 识别风险点。 Please note that any application may be rejected by ASCP in the following cases:/ 请注意，在下列情况下，ASCP可能会拒绝任何申请：

- On the GOTS and Textile Exchange Certification ban list,/在 GOTS 和纺织交易协议认证黑名单上，
- On any internationally recognised default list, or be affiliated with a company on a default list, such as the International Cotton Association List of Unfulfilled Awards,/在任何国际公认的违约名单上，或与被列入违约名单的公司有关联，例如国际棉花协会未履行裁决名单；
- A conflict of interest that could have a potential or perceived impact on ASCP certification decision,/ 可能对 ASCP认证决定产生潜在或感知影响的利益冲突，
- A lack of ASCP qualified personnel,/ASCP缺乏有资质的人员
- Any other reason or force majeure that makes appropriate certification assessment in compliance with ASCP procedures impossible./ 其他原因或不可抗力导致无法按照 ASCP程序进行适当的认证评估。

5.3 Initial Document review/初始文件评审

ASCP will perform a document review which will be carried out through a review of the documented system and records specific to the activities of the applicant organization with regards to Better Cotton Chain of Custody standard. / ASCP将通过申请组织在良好棉花监管链标准方面的活动的文件体系和记录的审查进行文件审核。

Documented procedures and records requested will be related to the relevant certification standard./ 要求形成文件的程序和记录应与相关的认证标准相关。

Following the initial document review, ASCP will prepare and will send the applicant organization an audit plan as indicated in section 5.2./ 在初步文件评审之后，ASCP将按照 5.2 节中的规定准

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备一份审核计划并将其发送给申请组织。

ASCP lead auditor in charge of coordinating and performing audit activities will contact the applicant organization to request access to all relevant information to perform the initial document review and prepare for the onsite audit. /负责协调和执行审核工作的 ASCP 审核组长将与申请组织联系，要求获得所有相关信息，以便为现场审核做准备。

The initial document review is part of the initial certification audit and any results from this initial review process is included in ASCP final audit report that is produced at the end of the certification audit process, covering both the document review and onsite audit. /初始文件审核是初始认证审核的一部分，初始审核的任何结果都包含在 ASCP 最终审核报告中，并在认证审核结束时生成，包括文件审核和现场审核。

5.4 Onsite audit/现场审核

ASCP conducts onsite audits to ensure conformity of the applicant organization procedures, processes and products with the requirements of the relevant certification standard. /ASCP 进行现场审核，以确保申请人组织的程序、过程和产品符合相关认证标准的要求。

ASCP onsite audits include the following steps:/ ASCP 现场审核包括以下步骤:

- An opening meeting to be performed by ASCP lead auditor in compliance with ASCP auditing best practices, /由 ASCP 审核组长按照 ASCP 审核最佳实践进行首次会议，
- A review of previous nonconformities whenever relevant, /对以前的不符合项进行评审，
- A review of the organization documented procedures and records as required to complement the initial document review, /必要时对组织形成文件的程序和记录进行审查，以补充最初的文件审查；
- An onsite audit of all the relevant production facilities as well as storage areas, /对所有有关的生产设施和储存区域进行现场审核；
- During the onsite audit, ASCP audit team may require to take product sampling for testing whenever required by the certification standard. If product sampling is required, ASCP audit team will perform the sampling in the presence of a company's management representative, /现场审核过程中，ASCP 审核组可根据认证标准的要求进行产品抽样测试。如果需要产品抽样，ASCP 审核组将在公司管理层代表在场的情况下进行抽样，
- Interviews of a representative sample of relevant personnel, both on the production site or in the office. Children (anyone under 18) shall never knowingly be interviewed unless as specified in Producer level audits see section 5.6 of the P&C Monitoring and Certification Requirements. Please note that ASCP audit team will require to perform all interviews in confidence without the presence of any representative of the organization's management, /在生产现场或在办公室与具有代表性的有关人员面谈。审计访谈中，18 岁以下未成年除《P&C 监控和认证要求》中指定的特殊情况外，不得被有意访谈；请注意，ASCP 审核组将要求在没有任何组织管理代表在场的情况下进行所有面谈，

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A closing meeting during which ASCP lead auditor presents a summary of the audit process and the audit results with any nonconformity identified during the audit. ASCP lead auditor will also explain to the applicant organization the next steps in the certification process based on the results of the audit. 末次会议上，ASCP 审核组长将总结审核过程与审核结果，并说明审核中发现的所有不符合项；同时根据审核结果，向申请组织解释认证流程的后续步骤。

For onsite audits, the applicant organization shall provide ASCP audit team with safe access to its facilities and in particular shall ensure that ASCP audit team is fully informed about any potential H&S hazard within the production area and shall be provided with the relevant required PPE./ 对于现场审核，申请组织应为 ASCP审核组提供进入其设施的安全通道，尤其应确保 ASCP审核组充分了解生产区域内的任何潜在的健康安全危害，并提供相关所需的个人防护装备。

5.5 Management of audit findings/审核发现的管理

ASCP audit teams may issue non-conformities during ASCP certification audits. Each non-conformity identify requires appropriate corrective action(s) to resolve the non-conformity identified within a specific time frame./ASCP审核组在认证审核时期会提出不符合项，每一项不符合项都需要在特定的时间范围内采取适当的纠正措施来解决所辨别出的不符合项。

ASCP classifies non-conformities as follow, to reflect the level of nonconformity identified during the audit: /ASCP将不符合分为以下几类，以反映审核过程中发现的不符合程度：

- Minor non-conformity/ 轻微不符合项: an isolated event that is limited in temporal and spatial scale, and where an effective internal management system was in place that should have prevented or detected the issue. A NC can also be graded as Minor if it does not result in a fundamental failure to achieve the objective of the relevant requirement./ 一个局限于特定时间和地点范围内的孤立事件，并且在事件发生时已经存在一个有效的内部管理系统，该系统本应能够防止或发现此问题。如果某项不符合（NC）并未导致无法达成相关要求的根本目标，那么这项不符合也可以被分级为轻微级别。
- Major non-conformity/ 重大不符合项: if it results in, or is likely to result in, a fundamental failure to achieve the objective of the relevant requirement (either alone or in combination with other NCs). Major NCs typically continue over a period of time or are repeated or systematic in nature./ 如果这个问题导致或者有可能导致未能实现相关要求的基本目标（无论是单独发生还是与其他不符合项共同作用的结果），那么它将被归类为重大不符合。重大不符合项通常会在一段时间内持续存在，或者具有重复性、系统性的特点。
- Critical non-conformity/ 关键不符合项: Where there is a demonstrable breakdown in the Chain of Custody or issues identified that correlate to Section 3.5.1 of the document Better Cotton CoC Monitoring and Certification Requirements./ 在证据表明监管链出现中断，或者发现与《良好棉花监管链监控和认证要求》第 3.5.1 节相关的问题时。

The auditor shall issue any non-conformities relating to deliberate fraud (including but not limited to altering any records related to claimed material by the organization's personnel) as a Critical NC./ 审核员应当将涉及故意欺诈的所有不符合项（包括但不限于由组织方人员篡改与声明材料有关的任何记录）列为关键不符合项提出。

The auditor shall report to Better Cotton within 24 hours if they detect any kind of unethical conduct from the staff representing the organization, including the offering of bribes in any kind or cash. This will lead to negative audit decision./ 若审核员发现组织方工作人员存在任何不道德行为，包括但不限于任何形式的贿赂或现金交易，应在 24 小时内向良好棉花组织报告。此情况将导

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致负面的认证决定。

If, during audits or monitoring activities, NCs are identified against CoC Standard requirements, the organization shall submit their corrective action plan (including a correction) within the specified timeframes./如果在审核或监控活动中发现针对监管链标准要求的不符合项，组织应在规定的时间范围内提交其纠正措施计划（包括纠正行动）。

- Minor non-conformities shall be corrected within 60 calendar days of the audit and the organization shall provide evidence to ASCP auditor demonstrating how the issue has been addressed./对于轻微不符合项，应在审核完成后的 60 个日历日内进行纠正，同时组织应向 ASCP 审核员提供证据，证明该问题已被妥善处理。
- Major non-conformities shall be corrected within 30 calendar days of the audit and the organization shall provide evidence to ASCP auditor demonstrating how the issue has been addressed./对于重大不符合项，则需在审核完成后的 30 个日历日内进行纠正，组织应向 ASCP 审核员提供证据，表明该问题是如何被解决的。

The CAP shall include a description of the root cause of the non-conformity and the corrective actions intended to correct the non-conformity./纠正行动计划（CAP）应当包含对不符合项根本原因的描述，以及为纠正此不符合项所计划采取的具体纠正措施。

If the organization fails to submit their CAP within the timeline, the organization's BCP account shall be temporarily suspended until it provides a valid reason for delay, or submits the CAP./如果该组织未能在规定时间内提交其 CAP，则组织的 BCP 账户将暂时被暂停使用，直至其给出延迟的原因说明或提交 CAP。

If non-conformities are not corrected within the designated time frame a certificate shall not be awarded, and an additional audit may be required. For existing certified organizations their certificate shall be suspended along with their BCP account./如果不符合项未能在规定的时间内纠正，则不应颁发证书，并可能要求进行额外的审核。对于现有的认证组织，其证书将连同其 BCP 账户一并被暂停。

Critical non-conformities shall result in a failed audit./关键不符合项应导致审核失败。

- Critical non-conformities shall be corrected prior to having a new audit to become certified./关键不符合项应在重新审核以获得认证之前得以纠正；
- ASCP shall determine if evidence of correction needs to be done onsite or off-site./ASCP 应确定纠正证据是需要在现场还是非现场进行验证；
- Correction of the non-conformities shall not result in certification for the organization without a new audit being conducted./不符合项的纠正并不能使组织在没有进行新的审核的情况下获得认证。

All findings from the audit shall be documented using the relevant reporting template from BCI (refer to Normative Documents).所有审核发现均须使用 BCI 配套专用报告模板进行记录（详见规范性文件）。

All audit reports shall include clear, evidence-backed descriptions of non-conformity and, where relevant, conformity, with each applicable requirement of the relevant standard.每份审核报告须对照相关标准的每一条适用条款，清晰描述附有证据支撑的不符合项；如有符合项，也应一并列明。ASCP shall collect supporting evidence (e.g. clear interview notes, copies of documents, photos) and retain it for a sufficient period to cover the review, certification decision, and any followup or appeals processes.ASCP 需收集佐证材料（如清晰的访谈记录、文件复印件、现场照片），并将材料留存足够时限，覆盖复核、认证决议、后续跟踪及申诉全流程。

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5.6 Audit Result and Certification decision making process/审核结果及认证决定的过程

After each audit, the auditor shall complete a report using the Better Cotton CoC Audit Report./每次审核后，审核员应使用良好棉花监管链审核报告完成一份报告。

ASCP shall submit a copy of the finalised audit report and certificate to the organization along with compliance@bettercotton.org within 14 calendar days of receipt of the correction action plan (including correction). Where no non-conformities were identified ASCP shall submit a copy of the finalised audit report and certificate to the organization along with compliance@bettercotton.org within 14 calendar days of the final day of the audit./ASCP应在收到纠正行动计划（包括纠正措施）后的 14 个日历日内，向组织及 compliance@bettercotton.org 提交最终审核报告和证书的副本。如果审核中未发现不符合项，ASCP应在审核最后一天起的 14 个日历日内，向组织及 compliance@bettercotton.org 提交最终审核报告和证书的副本。

ASCP certification decision is taken by a qualified ASCP personnel who is qualified and has not taken part in any of the certification activities. To make an appropriate certification decision, ASCP certifier will review the following documentation: /ASCP认证决定由合格的 ASCP人员做出，但该人员没有参加任何认证活动。为了做出适当的认证决定，ASCP认证机构将审查以下文件：

- ASCP audit report./ASCP审核报告
- The nonconformities that have been identified and reported during ASCP audit. /ASCP审核期间发现并报告的不符合项。
- The corrective action plan and corrective actions implemented to close all relevant nonconformities./纠正措施计划和为关闭所有相关不符合项而实施的纠正措施。

The applicant organization will only be informed of a positive certification decision, when: /只有在下列情况下，申请机构才会被告知认证结果是积极的：

- All relevant certification fees have been paid by the applicant organization, and 所有相关的认证费用已由申请组织支付;及
- All relevant certification requirements have been fulfilled, and/所有相关认证要求均已满足，并且
- The number of NCs is less than the allowable number outlined in document Better Cotton CoC Monitoring and Certification Requirements./NCs 的数量少于文件《良好棉花监管链监测和认证要求》中概述的允许数量。
- A positive certification decision has been taken by the relevant ASCP qualified personnel. /相关的 ASCP 资质人员作出了积极的认证决定。

Once a positive certification decision has been taken, ASCP will issue to the applicant organization a scope certificate that includes the following information: /一旦做出积极的认证决定，ASCP 将向申请机构颁发证书，其中包括以下信息：

- the scope of the certification includes processes, products, outsourced processes, multi-site

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considerations where applicable, and named brands within the scope of certification (for brand certifications only);/认证范围包括过程、产品、外包过程、在适用情况下的多场所，以及认证范围内指定的品牌（仅针对品牌认证适用）；

- The CoC model(s);/监管链模式
- The name and address of ASCP/ ASCP的名称和地址
- The name and address of applicant organization/申请组织的名称和地址
- The scope of the certificate, including list of sites in case of multisite certification, and list of certified products and processes within the scope of the certificate/证书范围，包括多批次认证的场所清单，以及证书范围内的认证产品清单和流程
- The standard used for the certification audit/认证审核所用的标准
- The date of the audit;/审核日期
- The date the certification was granted/颁发证书日期
- The expiry date the certificate/证书到期日
- Signature of authorized person of ASCP/ASCP授权人的签名
- Certification Approval Number (equivalent to BCP Number);/认证批准号（相当于 BCP 号）
- Unique certificate number as determined by the ASCP。/由 ASCP规定的唯一的证书编号
- Outsourced processes;/外包过程

After the scope certificate is issued with the list of certified products, the organization is required to ensure that certified products remain in full compliance with all the requirements of the relevant standard, shall allow for surveillance audits to be performed as required, and shall ensure timely payment of all relevant certification and surveillance audit fees./带有认证产品列表的证书签发后，认证组织应确保认证产品仍然完全符合所有相关标准的要求，允许监督审核应按要求执行，并确保及时支付所有相关认证和监督审核费用。

Certificates shall be valid for a maximum of three years from the date of issue. The expiry date of the certificate shall be based on the issue date./证书自签发之日起，有效期最长不超过三年。证书的到期日期基于签发日期。

A negative decision shall be issued to the organization that exhibits fundamental failure to achieve the objective of the CoC requirements, which includes the following cases:/如果根本未能实现监管链标准要求的目标，应向组织发出否定决定，包括以下情况：

- Any NC(s) has not been corrected;/存在任何不符合项没有纠正；
- The number of NCs exceeds the threshold identified in Table 1 outlined in document Better Cotton CoC Monitoring and Certification Requirements;/不符合项的数量超过了文件《良好棉花监管链监督和认证要求》中表 1 中确定的阈值；
- Better Cotton or a designated ASCP auditor has evidence of a NC that was induced purposefully, grossly negligent, systematic, and/or which imposes a severe reputational risk to Better Cotton stakeholders;/良好棉花或指定的 ASCP审核员有证据证明有目的、严重疏忽、系统性和/或对良好棉花利益相关者造成严重声誉风险的不合格行为；
- No response to submit a CAP within specified time period of receiving the final audit report and CAP template./在收到最终审核报告和 CAP 模板后的规定时间内未提交 CAP。

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Where the organization is certified ASCP shall suspend the certificate and inform the organization and Better Cotton of this decision within 2 days of making the decision. In addition, Better Cotton retains the right to suspend use of the BCP at any time if Better Cotton or a designated ASCP auditor has detected any kind of unethical conduct which may include bribery or fraud. These details are also covered in the BCP Terms and Conditions./如果组织获得认证，ASCP将暂停认证，并在做出决定后 2 天内通知组织和良好棉花。此外，如果良好棉花或指定的 ASCP 审核员发现任何类型的道德行为，包括贿赂或欺诈，良好棉花保留随时暂停使用 BCP 的权利。这些细节也包含在 BCP 条款和条件中。

5.7 Annual Self-Assessment/年度自我评估

As per Section 2.8 of the CoC Standard all organizations are required to complete an annual self-assessment. The self-assessment shall be completed using a template provided by Better Cotton and shall be submitted to ASCP. ASCP may evaluate the self-assessment and request further information on the outputs of the internal review./根据《良好棉花监管链标准》第2.8 节的规定，所有组织都需要每年完成一次自我评估。自我评估应使用良好棉花提供的模板完成，并提交给 ASCP。ASCP 可对自我评估进行评估，并要求提供关于内部审查结果的进一步信息。

Failure to cooperate with requests from ASCP shall result in the ASCP suspending the organization's certificate and Better Cotton shall block the respective BCP account until the information is provided. If the information provided is of poor quality or raises concerns, ASCP may request further information and conduct additional monitoring./未能配合 ASCP 的要求将导致 ASCP 暂停组织的证书，且良好棉花将封锁相应的 BCP 账户，直到提供所需信息为止。如果提供的信息质量差或引起关注，ASCP 可要求提供进一步的信息并进行额外的监控。

5.8 Surveillance/监督检查及抽样数量

Where a organization reaches the non-conformity threshold of 'Positive Result and Surveillance Audit' listed in Table 1 outlined in document Better Cotton CoC Monitoring and Certification Requirements they shall undergo a surveillance audit within 12 months of the certification (or recertification) audit./如果组织达到文件《良好棉花监管链监控和认证要求》中表 1 所列的“积极结果和监督审核”的不合格阈值，组织应在认证（或再认证）审核后 12 个月内接受监督审核。

The surveillance audit shall be conducted by the same CB that conducted their certification (or recertification) audit./监督审核应由进行其认证（或再认证）审核的认证机构进行。

ASCP shall perform annual surveillance to ensure continuous conformity with the relevant certification requirements. Surveillance can be announced or unannounced onsite audit, product

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sampling for compliance tests and Checks on the implementation of remedial action. /ASCP应进行年度监督审核，以确保持续符合相关认证要求。监督审核可以是通知或不通知的现场审核、产品抽样符合性测试和检查纠正措施的实施。

The surveillance activities are planned and carried out in the manner stated in the relevant Standard Documents./监督活动是按照有关标准文件所述的方式计划和执行的。

Any non-conformities raised at a surveillance audit shall be handled as per Section 5.5 of this document and will have the same consequences as those raised in a certification (or re-certification audit). ASCP shall determine if a further surveillance or suspension is required depending on the number of non-conformities raised and/or the quality of corrective actions./监督审核中提出的任何不符合项应按本文件第 5.5 条处理，其后果与认证（或再认证审核）中提出的不符合项相同。ASCP应根据提出的不符合项的数量和/或纠正措施的质量，决定是否需要进一步的监督或暂停。

In addition to the audit methods outlined; Better Cotton and/or ASCP shall conduct regular monitoring of the organizations that are part of the CoC programme. The methods of monitoring include, but are not limited to:/除了概述的审核方法外；良好棉花和/或 ASCP应定期对良好棉花监管链标准中的组织进行监督。监督方法包括但不限于：

- Document reviews;/文件评审
- On-site audits;/现场审核
- Unannounced audits;/不通知审核
- Tracebacks/追踪；
- Transaction monitoring;/交易监控
- Spot check visits;/抽查访问
- Physical tracers;/实体追踪物
- Response to complaints./投诉的回应。

Prior to performing an announced periodic surveillance audit, ASCP shall send to the certified organization an audit plan two weeks prior to the opening meeting of the audit. For unannounced audits, ASCP is not required to send an audit agenda in advance of the audit./ 在执行监督审核前，ASCP应于首次会议召开两周前，向被认证组织发送审核计划。对于不通知的审核，ASCP不需要在审核前发送审核计划。

Announced surveillance audits will be performed with the following activities: /通知的的监督审核将进行下列活动:

- Opening meeting/首次会议
- Review of any outstanding nonconformity with the relevant corrective action plan and corrective actions implemented/评审任何突出的不符合项，以及相关的纠正措施计划和已实施的纠正措施
- Documents and records review/文件和记录评审
- Onsite audit of production area(s) including storage area(s)/ 生产区域(包括仓库)的现场审

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- Interview of a sample of relevant employees (in confidence and without the presence of management representative(s)/ 与有关雇员进行面谈(在保密的情况下，并无管理层代表在场)
- Sample size: Depending on the size of the organisation's operations, the auditor should review between 5-15 transactions./样本量：根据组织的运营规模，审核员应该审查5-15笔交易
- Closing meeting with presentation of audit findings/召开审核结果的末次会议

Unannounced surveillance audits may be required in the following situations: /在下列情况下，可能需要进行不通知的监督审核：

- Evidence of misleading claims by the certified organization, including misuse of the certificate./ 认证组织有误导性声明的证据，包括误用证书。
- Justified and documented complaint(s)/合理的和文件化的投诉。
- In case of fraud, such as after a suspension decision there is evidence that the organization is still trading certified products./ 如有欺诈行为，例如在被暂停交易后，有证据表明该组织仍在交易认证产品。
- In case of court case that may indicate that the organization has not fully complied with all relevant certification requirements. /如在诉讼案件中发现该机构没有完全遵守所有有关的认证规定。
- At the request of Better Cotton. /应标良好棉花的要求

ASCP surveillance audits are normally performed through document review and an onsite audit .However, in case of special circumstances and if allowed by the Better Cotton, ASCP may perform remote surveillance audits./ ASCP监督审核通常通过文件审查和现场审核进行。但是，在特殊情况下，如果良好棉花允许，ASCP可以进行远程监督审核。

5.9 Renewal audit/复审

Renewal audits should be completed no later than 60 days prior to the expiry of a scope certificate. Renewal audits are announced audits that follow the same process flow as an initial certification audit./ 复审核应不迟于范围证书到期前 60 天完成。更新审核是按照与初始认证审核相同的流程进行的通知审核。

Renewal audits shall be performed through document review and an onsite audit, unless any particular exception granted by the scheme owner./复审应通过文件审查和现场审核进行，除非标准制定方批准任何特殊的例外情况。

ASCP will need to make a new certification decision after each renewal audit. If major or critical nonconformities are detected during a renewal audit, ASCP may take a negative certification decision and the organization's certificate may not be renewed until full conformity with the relevant requirements have been demonstrated./ ASCP需要在每次复审后做出新的认证决定。如果在复审中发现重大或关键的不符合，ASCP可以采取否定认证决定，直到证明完全符合相关要求，组织的证书才可以更新。

Anode Sustainability Certification Promotion Services Co., Ltd. (ASCP)
#1410, No.383 Dunhua Road, North District, Qingdao City,
Shandong Province, China

Certificate

Certificate Number 000000

Version Number 000000

ASCP
certifies that

XXX CO., LTD.

Add1
Add2, postcode
Add3, China

has been assessed and found to be in conformity with the

Better Cotton Chain of Custody (Version 1.2)
and associated normative documents

BCP Number: BBBBBB

Supply Chain Model(s): Mass Balance; Controlled Blending; Segregation(Single Country); Segregation(Multi-Country)

Risk Category: N/A

This certificate is valid until: 2029-0-

Date of Assessment:(YYYY-MM-DD)

2026-XX- to 2026-XX-

Place and Date of Issue (YYYY-MM-DD)

Qingdao, 2026-0-

Last Updated: 2026-0-

Certification Body

Standard

Name of Authorized Signatory
Joseph Zhao



Certification Body Licensed by: Better Cotton Initiative; Licensing Code:

Certification Body Accredited by: IOAS; Accreditation Number: 150

Inspection Body: Anode Sustainability Certification Promotion Services Co., Ltd. (ASCP)

Auditor(s):



Anode Sustainability Certification Promotion Services Co., Ltd. (ASCP)
#1410, No.383 Dunhua Road, North District, Qingdao City,
Shandong Province, China

The following brand names may be certified under this certificate(applicable only if process applied is brand)

Product Appendix

Brand Name	Product Category	Product Details	Material Composition
N/A			

Site Appendix

*The processes marked with an asterisk may be carried out by subcontractors.

Facility Name & BCP Number	Address	Products	Process Categories	Supply Chain Model(s)
				■Mass Balance ■Controlled Blending ■Segregation(Single Country) ■Segregation(Multi-Country)

Date of Assessment:(YYYY-MM-DD)
2026-XX- to 2026-XX-
Place and Date of Issue (YYYY-MM-DD)
Qingdao, 2026-0-
Last Updated: 2026-0-

Certification Body

Standard

Name of Authorized Signatory
Joseph Zhao



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5.10 Scope extension/证书扩大范围

A certified organization may require for its certificate scope to be extended and/or add new CoC models at any stage during the three years of the certification cycle. A formal written request for scope extension shall be sent to ASCP before any related claim can be made./ 认证组织可在三年的认证周期内的任何阶段要求扩大其认证范围和/或增加新的监管链模式。组织在提出任何相关声明之前，应向 ASCP发出正式的扩大范围的书面请求。

Scope extension requires a document review and/or on-site audit to take place and a formal decision making process shall be followed as per ASCP procedures./ 扩大范围需要进行文件审查和/或现场审核，并按照 ASCP程序进行正式的认证决定流程。

Scope extension can be granted or rejected based on the outcome of the ASCP audit./可根据 ASCP 审核结果批准或拒绝扩大范围。

ASCP shall issue an updated certificate to organization upon either approval of the extension or successful completion of the on-site audit. The expiry date of the existing certificate shall remain as it was before the extension was added./ASCP应在完成对延期申请的批准或在现场审核成功结束后，向相关组织发放新版证书。原有证书的到期日期将维持原状，即不因延期的批准而发生改变，其有效期截止日与最初颁发时设定的日期保持一致。

5.11 Certification suspension/证书暂停

ASCP may suspend a certificate in the following (but not limited to) circumstances:/ 有下列情形之一，ASCP可以暂停证书：

- CAP is not submitted by the required deadline, or is incomplete and/ or insufficient quality;/CAP 未在规定的截止日期前提交，或不完整和/或质量不足；
- Failure to close out non-conformities from monitoring activities;/未能关闭监督活动中的不符合项。
- Scheduled audits are not carried out or access is restricted (e.g. ASCP is not permitted access to certain documents or sites required for the full audit);/未进行计划审核或准入受到限制（例如，不允许 ASCP进入全面审核所需的某些文件或场所）；
- Failure to cooperate with ASCP auditors in monitoring activities;/在监督活动中不配合 ASCP 审核员；
- This includes refusing to allow entry to a organization for the purpose of an audit;/包括拒绝以审核为目的的访问；
- Demonstrable breakdown in the Chain of Custody;/监管链出现明显故障；
- Evidence a organization has sold products as Physical Better Cotton when they are shown to

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not be Better Cotton either by origin or another claim;/若证据显示某组织销售的产品声称是实物良好棉花，但实际上根据其来源或其他声明并非良好棉花；

- Breaches in other Better Cotton processes including, but not limited to: membership requirements, Better Cotton Claims Framework requirements, and BCP terms and conditions as well as misuse of the BCP;/其他良好棉花流程中的违规行为，包括但不限于：会员要求的违反、良好棉花声明框架要求的违反、以及 BCP 条款和条件的违反和 BCP 的滥用；
- Significant changes to the organization's processes that may have an impact on the product's compliance with relevant standard requirements and that have not been communicated to ASCP;/ 组织的工序有重大变化，可能会影响产品符合相关标准要求的，且未告知 ASCP。
- Fraud, wrong claims or misuse of the certificate;/虚假错误的声明或者滥用证书；
- Failure to pay relevant certification fees./未支付相关认证费用
- Issues that may bring Better Cotton into disrepute;/那些可能会使良好棉花蒙羞的问题；
- Unethical behaviour (bribery, corruption, etc.)./不道德行为(贿赂、腐败等)。

If any potential issues are identified that could lead to the Certification Applicant's suspension from the Better programme, ASCP shall notify the BCI team within 48 hours of the audit concluding by emailing compliance@bettercotton.org. ASCP will notify in writing the organization of the decision to suspend a certificate, specifying thereasons for the suspension and the condition(s) for the suspension to be lifted.ASCP shall also inform Better Cotton within 48 hours of the suspension./若核查过程中发现任何可能导致认证申请人被暂停参与良好棉花项目的潜在问题，ASCP 须在审核结束后 48 小时内，通过发送邮件至compliance@bettercotton.org告知 BCI 工作小组。ASCP 将会以书面通知组织暂停证书的决定，说明暂停证书的原因和取消暂停证书的条件。ASCP 也会在 48 小时内将暂停通知良好棉花。

The period of suspension shall be determined according to Table 2 on document Better Cotton CoC Monitoring and Certification Requirements along with actions required to lift suspension. Failure to take acceptable actions to correct the cause of the suspension may result in the organization being removed from the Better Cotton programme and they shall be required to go through a new certification audit with ASCP./暂停期限将根据《良好棉监控和认证要求》文件中的表 2 来确定，同时包含解除暂停所需采取的措施。如果未能采取可接受的纠正措施来解决导致暂停的问题，可能会导致组织被移出良好棉花计划，并且需要与 ASCP 进行新的认证审核。

During the suspension period the following rules shall apply to the suspended organization:/在暂停期间，对被暂停组织的适用规则如下：

- The organization cannot buy or sell Better Cotton products or BCCUs in the period of suspension./组织在此期间内不能买卖良好棉花产品或 BCCUs（良好棉花计算单位）
- If the organization has already made a sale or purchase prior to the suspension but has not entered onto the BCP, the organization shall have 10 working days to enter these transactions and provide supporting evidence to ASCP and Better Cotton team to verify these transactions./如果组织在暂停前已进行了销售或购买但尚未录入 BCP 系统，则该组织应在 10 个工作日内录入这些交易，并向 ASCP 和良好棉花团队提供支持性证据以核实这些交易。
- Failure to adhere to these requirements shall lead to the transactions being rejected./未能遵守上述要求将导致交易被拒绝。
- The organization shall not process any Better Cotton in stock during the period of

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suspension./在暂停期间，组织不得处理任何库存中的良好棉花。

- The organization shall inform their customers within 5 working days of their suspension./组织应在暂停之日起 5 个工作日内通知其客户。

5.12 Certification Withdrawal and Cancellation/证书撤销和取消

ASCP may withdraw a certificate in the following circumstances:/ 有下列情形之一者，ASCP得终止证书：

- Issues that may bring Better Cotton into disrepute;/导致良好棉花蒙羞的问题；
- Failure to lift suspension within the specified timeframe;/未能在指定的时间内解除暂停；
- Unethical behaviour, serious and repeated or systematic fraud, wrong claim or misuse of the certificate; /多次或有系统地进行严重欺诈、错误申领或误用证书；
- Failure to pay ASCP for audit services provided associated with the Better Cotton Chain of Custody programme;/未能向ASCP支付与良好棉花监管链计划有关的审核服务费用；

ASCP may cancel a certificate in the following circumstances:/ 有下列情形之一者，ASCP得终止证书：

- In case of bankruptcy or if the organization closes its business./ 如果组织破产或关闭业务
- If the legal representative of the certified organization makes a formal request for the certificate to be terminated at any stage./认证机构的法定代表人在任何阶段正式要求终止该证书。

ASCP will notify in writing the organization of the decision to terminate a certificate, specifying the reasons for the suspension./ ASCP将书面通知组织机构终止证书的决定，并说明中止证书的原因。

CB shall inform Better Cotton within 48 hours of the withdrawal who shall suspend the organization's BCP account./CB 应在 48 小时内将撤销通知良好棉花，后者将暂停该组织的 BCP 账户。

5.13 Changes to certification requirements/认证要求的变更

ASCP shall inform all its organizations for Better Cotton Chain of Custody Standard of any change to the standards requirements within 10 working days, along with the timeline for implementing any changes./ASCP将在 10 个工作日内告知其组织关于良好棉花监管链标准的标准变更及实施变更的截止日期。

As per Section 2.2 of the Better Cotton Chain of Custody Standard (live version), organization shall communicate any changes in the management representative, or any other significant changes to ASCP by email within 15 calendar days of the change occurring./根据良好棉花监管链标准（实时版本）第 2.2 条，组织应在变更发生后 15 个日历日内通过电子邮件将管理层代表的任何变更或任何其他重大变更通知 ASCP。

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5.14 Transfer of Certificates/证书转移

The organization shall inform their existing Certification Body (CB) at least 3 months prior to their certificate expiry should they choose to change CB for their next audit./组织如果选择在证书到期前更换认证机构（CB）进行下一次审核，应至少提前 3 个月通知现有的认证机构。

If a organization contacts ASCP with a request to transfer their certificate, ASCP shall request and review the latest audit report(s) and any other relevant supporting documents (e.g. Corrective Action Plan, etc)./如果组织联系 ASCP 请求转移其证书，ASCP 将要求并审查最近的审核报告和任何其他相关的支持文件（例如，纠正行动计划等）。

If an audit is not yet due ASCP may issue a certificate for the length of time remaining on the previous certificate, based on the review evidence from the previous audit./如果审核尚未到期，ASCP 可以根据之前审核的审查证据为组织颁发有效期至原证书剩余时间相同的证书。

The organization shall be responsible for ensuring that the previous CB is informed, and the contract is cancelled as soon as the contract with ASCP is signed./在与 ASCP 签订合同后，组织有责任确保先前的 CB 已被告知，并且合同已终止。

5.1.5 Use of certificates and marks of conformity/证书和合格标志的使用

Compliance certificates and mark usage requirements are defined in certification contract and Better Cotton 's specific labeling guidelines. By accepting the certification contract form ASCP, the organization undertakes to meet all relevant requirements. Organization shall only use marks upon signing a license agreement with ASCP./证书和标志使用要求在认证合同和良好棉花的具体标签指南中定义。通过接受 ASCP 的认证合同，组织承诺满足所有相关要求。组织仅能在与 ASCP 签订良好棉花分许可协议后使用这些标志。

含有BCI标志的标签分为两类

- 经认证的非会员组织，认证机构会共享一个非会员中心链接*，* 仅包含认证组织标志，如下图示例：



- 仅适用于区域品牌商（RB）会员认证及获得BCI标签（面向企业对消费者，B2C），企业对消费者（B2C）标签使用示例，B2C 标签在成品中的使用（即在实体店 / 网店销售的服装产品）



Any inappropriate or deceptive reference or use will be investigated by ASCP. If the mark is used improperly, it will be punished including withdrawal or suspension of certification documents or legal procedures./ 任何不适当或欺骗性的引用或使用将由 ASCP调查。如果使用不当, 将受到吊销或中止认证文件或法律程序等处罚。

Related document 相关文件:

Better Cotton Claims Framework V4.1

5.1.6查询 BCI 良好棉花证书的有效性方式:

1. 通过国家认监委平台查询: 登录 “国家市场监督管理总局全国认证认可信息公共服务平台”, 网址为<http://cx.cnca.cn/CertECloud/result/skipResultList>, 进入平台后, 可直接在首页输入 证书编号、获证组织名称等信息进行查询, 点击组织名称后, 会显示证书信息, 可据此检查证书的有效性。
2. 通过 BCI 官网会员名录查找: 打开 BCI 官方网站<https://bettercotton.org/>, 找到 “Membership” 菜单栏, 点击其中的 “Find Members” 选项, 页面会跳转至企业名录页面, 你可以在右侧根据需求筛选相关企业, 或者在右下侧下载完整名单来查看相关企业的认证情况, 进而确认 证书是否有效。
3. 利用认证机构渠道: BCI 认证通常是由ASCP等第三方认证机构执行的。你可以访问ASCP官网<https://www.ascpservices.com/h-col-229.html>, 在其Resource Centre进行证书验证, 输入 BCI 证书编号等信息来验证证书有效性。
4. 联系 BCI 官方: 如果通过以上方式无法顺利查询, 你还可以直接联系 BCI 官方进行询问, 其邮箱为 helpdesk@bettercotton.org, 你可以发送邮件说明情况并提供证书相关信息来获取帮助。

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6. Complaints and Appeals/投诉和申诉

6.1 General/总则

ASCP operates and delivers certification services to the highest professional standards. However, in specific circumstances, you may wish to raise a formal complaint against some of the services delivered by ASCP or appeal a specific certification decision./ ASCP以最高的专业标准运作和提供认证服务。然而，在特殊情况下，您可能希望对 ASCP提供的某些服务提出正式投诉，或对特定的认证决定提出申诉。

In order to offer fair and impartial certification decision services, ASCP is implementing formal complaint and appeal procedures that are described in the sections below./为了提供公平和公正的认证决定服务，ASCP正在实施正式的投诉和申诉程序，这些程序在下面章节中描述。

6.2 Management of Complaint received/管理收到的投诉

ASCP Sustainable textile certified organizations shall develop and implement a documented procedure for the management of complaints received from third parties. The procedure must include the following requirements: /ASCP可持续纺织品认证机构应制定并实施一套文件化程序，用于管理来自第三方的投诉。该程序必须包括以下要求：

- Record any complaint raised from any interested stakeholders./记录任何有关利益相关者提出的投诉；

6.3 Complaint against ASCP/投诉

An organization or any interested party may send a complaint to ASCP. A complaint raised to ASCP shall comply with the following minimum requirements to be considered by ASCP as a formal complaint: /组织或任何相关方可向ASCP投诉。向ASCP提出的投诉须符合以下最低要求，ASCP方可视为正式投诉：

- Be in writing. ASCP will not consider informal complaints that are not provided in written format, /用书面形式。 ASCP不会考虑没有以书面形式提供的非正式投诉；

- Shall not be anonymous./不能匿名；

- Be fully justified with appropriate description of the issue raised and how this is related to the ASCP audit, certification process or any other relevant ASCP activity./对所提出的问题充分合理的描述，并说明其与ASCP审核、认证过程或其他相关ASCP活动的关系。

ASCP will record all formal and justified complaints received, will review the complaint within 15 working days after reception, and is committed to responding to the complainant(s) within 30 calendar days. If additional time is required to review, address and/or respond to the complaint, ASCP will formally inform the complainant and will propose a new timeline to respond. /

ASCP将记录所有收到的正式且合理的投诉，并将在收到投诉后 15 个工作日内对投诉进行审核，并承诺 在 30 个自然日内对投诉人做出回应。如果需要额外的时间来审查、处理和/或回应投诉，ASCP将正式通知投诉人，并提出新的回复时间。

ASCP will ensure that complaints are not reviewed and addressed by any ASCP personnel whom may have a conflict of interest./ ASCP将确保投诉不被任何可能有利益冲突的ASCP人员审查和处理。

ASCP will record each complaint that has been accepted together with the action taken to resolve the issue and the response that was sent to the complainant./ ASCP将记录已受理的每一个投诉，以及为解决该问题所采取的行动和发送给投诉人的答复。

Any changes in certification status resulting from an appeal shall be communicated to Better Cotton and the appellant in writing within 5 working days of the decision being made./任何因上诉而产生的认证状态变更，应在做出决定后的 5 个工作日内以书面形式通知良好棉花和申诉人。

If the complainant is not satisfied with ASCP response, ASCP will provide the contact details of Better Cotton so that appropriate follow-up can take place at the level of Better Cotton. /如投诉人对 ASCP的回应不满意，ASCP会提供良好棉花的联络资料，以便在良好棉花层面进行适当的跟进。

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6.4 Appeal/申诉

ASCP will only receive formal appeals from ASCP applicant or certified organizations. Appeals have to be specifically related to: /ASCP只接受来自ASCP申请人或认证组织的正式申诉。申诉必须具体涉及:

- A ASCP certification decision,/ ASCP认证决定;
- A decision by ASCP to suspend or terminate a certificate,/ ASCP暂停或终止证书的决定
- ; A nonconformity raised by ASCP audit team during an audit process./ ASCP审核组在审核过程中提出的不符合项。

The auditee or the certified organization will appeal to ASCP within 30 days after receiving the decision or notice of action. Appeal must: /被审核方或被认证组织将在收到决定或行动通知后30天内向ASCP提出申诉。申诉必须:

- Be in writing,/通过书面;
- Be signed or sealed by the complainant/由投诉人签名或盖章;
- Be fully justified, presenting all relevant evidence related to the case,/ 有充分理由, 提出与本案有关的所有证据;
- Be with clear reference to relevant normative reference./明确参考相关的规范。

All appeals will be dealt with as per ASCP appeal procedure, as follow: 所有申诉将按照 ASCP申诉程序处理, 如下:

- Appeal will be reviewed by a qualified ASCP personnel who has not been involved in any certification activity related to the organization,/ 申诉将由一名合格的 ASCP人员进行审查, 该人员未参与任何与组织有关的认证活动,
- ASCP can reject an appeal if the evidence provided is not sufficient to justify for ASCP decision to be reviewed./如果所提供的证据不足以证明对 ASCP的决定进行复审, ASCP可以驳回申诉。
- If the appeal is accepted, the Textile Certification Department fills in the “Appeal/Complaint and Dispute Acceptance Record” and reports to ASCP's top management and management representatives within 2 working days. The investigation team shall be composed of personnel who have not audited and certified the party involved in the appeal, and the management representative appoints the head of the investigation team to conduct an investigation./如申诉获接纳, 纺织认证部在收到申诉材料后, 在2个工作日内填写《申诉/投诉及争议受理记录》, 并向公司最高管理者和管理者代表报告。调查组由未对申诉当事人进行审核和证明的人员组成, 由管理者代表任命调查组组长进行调查。
- The investigation team organizes investigation, confirmation, analysis and evidence collection of the appeal: /调查组组织申诉的调查、确认、分析和证据收集:
 - o Both the complainant and the respondent should be required to provide sufficient factual evidence and written materials. /要求申诉方与被申诉方均应提供充分的事实证据和书面材料
 - o The working group shall arrange for the representatives of the party to appeal and the party to response to present their reasons fully./工作组应安排申诉方与被申诉方代

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表充分陈述理由。

- Investigate, confirm, analyze and collect evidence of the reasons and facts of the appeal to determine the validity of the application./对申诉理由和事实进行调查、确认、分析和取证，以确定申请的有效性。
- Propose the relevant measures to respond to the appeal, that is, to process opinions or conclusions./ 提出回应申诉的相关措施，即处理意见或结论。
- The investigation team forms a written report after investigation, confirmation, analysis and evidence collection results, as well as processing opinions or conclusions./调查组经过调查、确认、分析和取证结果以及处理意见或结论，形成书面报告。
- The leader of the investigation team convened a meeting to review the results of the treatment and, if necessary, refer to the results of previous similar complaints./调查组组长召开会议，审定处理结果，必要时可以参考以前类似申诉的结果。
- The reasons for the appeal are established and are the responsibility of the company. The representative of the company manager is responsible for organizing the relevant departments to analyze the reasons for non-conformity according to the relevant provisions of the Procedures for Corrective/Preventive Measures, taking corrective measures, forming documents and verifying their validity. When the auditee or the certified customer is required to review, the Textile Certification Department shall conduct the review in accordance with the requirements in the certification procedure. /申诉理由成立，属公司责任，公司管理者代表负责按照《纠正/预防措施程序》中有关规定，组织相关部门分析不符合产生的原因，采取纠正措施，形成文件并验证其有效性；如果认为对受审核方或获证组织应予复审时，由纺织认证部按照认证程序中的要求实施复审。
- The problem in the appeal is not the responsibility of the company, but it is related to the company's certification audit work, and should be taken in the same way, and appropriate improvement measures should be taken. /申诉中的问题不属于公司的责任，但与公司认证审核工作有关，应举一反三，采取适当的改进措施。
- The reasons for the appeal are established, and the costs of the appeal investigation and the cost of the review are borne by the company. The reason for the appeal is not established and the claimant shall bear the expenses. /申诉理由成立，申诉调查处理费用及复审费用由公司承担。申诉理由不成立，由申诉方负担费用。
- The confirmation of the appeal and the progress of the investigation and the final processing result shall be sent to the appealing party in writing by the Textile Certification Department. The final processing result will be accepted from the formal acceptance, no later than 30 calender days./申诉的确认和调查处理进展情况和最终处理结果，由纺织认证部以书面形式送交申诉方。最终处理结果自正式受理申诉，最迟不超过 30 个自然日。

Please note that an appeal, even if accepted by ASCP, is not suspensive of the decision subject to the appeal./请注意，申诉即使被 ASCP接受，也不意味着申诉后的决定被中止。

In the event of an unsuccessful appeal the organization may appeal to Better Cotton. The appeal to Better Cotton shall only be made if the organization has reason to believe that ASCP has not followed the processes outlined in this document and/or a conflict of interest has been

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identified./在申诉不成功的情况下，组织可以向良好棉花提出申诉。只有在组织有理由相信 ASCP未遵循本文件中概述的流程和/或已确定存在利益冲突时，才可以向良好棉花提出申诉。

This appeal shall be made within 10 calendar days of the appeal decision received by the ASCP. Failure to submit in this timeline shall result in Better Cotton not reviewing the appeal. Better Cotton shall acknowledge the appeal within 10 working days of receipt and keep the appellant informed of the progress in evaluating the appeal until it is closed./此申诉应在收到 ASCP的申诉决定后的 10 个日历日内提出。若未能在此时间内提交，将导致良好棉花不审查该申诉。良好棉花应在收到申诉后的 10 个工作日内确认，并在评估申诉直至结案的过程中持续向提出上诉者通报进展情况。

7. Multi-Site Certification/多场所认证

7.1 Scope/范围

The Multi-Site requirements may apply to any organization wishing to enter 2 or more sites to the Better Cotton Chain of Custody Standard. There are two options for organizations in this position:/多场所要求适用于任何希望进入 2 个或更多场所的组织，以达到良好棉花监管链标准。这类组织有两种选择：

- Single Chain of Custody Audit and Certificate for organizations with Multiple Sites/Units;/多个场所/单位的组织的单一监管链审核和证书；
- Multi-site Certification for Independent Sites Managed by a Central Function./中心功能管理的独立场所的多场所认证。

7.2 Single Chain of Custody Audit and Certificate for organizations with Multiple Sites/Units/多个场所/单位的组织的单一监管链审核和证书

In some circumstances an organization may own additional sites at more than one location. These sites can be covered by a single audit report and certificate if all the following criteria are met:/在某些情况下，组织可能拥有位于不同地点的多场所。如果满足以下所有标准，这些场所可以通过一份审核报告和证书进行覆盖：

- All site(s) shall operate under the same ownership;/所有场所都必须在同一所有权下运营；
- Only the site that will be listed as the certificate holder shall be responsible for purchasing and sales of Better Cotton;/只有作为证书持有者列出的场所才负责购买和销售良好棉花；
- All site(s) shall have the same management system as the organization that will be listed as the certificate holder;/所有场所必须拥有与将被列为证书持有者的组织相同管理体系；
- The additional site(s) shall not process and/or handle Better Cotton independently of the organization that will be listed as the certificate holder;/附加场所不得独立于将被列为证书持有者的组织加工和/或处理良好棉花；

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- The additional site(s) shall only supply each other and not have separate customers or suppliers;/附加场所只能相互供应，不能拥有独立的组织或供应商；
- The additional site(s) shall be located within 50km of the organization that will be listed as the certificate holder;/附加场所必须位于将被列为证书持有者的组织 50 公里范围内；
- Any sites that do not meet the criteria listed shall be audited and certified separately./任何不符合上述标准的场所应单独进行审核和认证。

The organization that will be listed as the certificate holder shall inform ASCP of the total sites/units to be covered as part of the audit. If any of the information provided proves to be incorrect ASCP may require individual audits to be arranged./将被列为证书持有者的组织应向 ASCP 通报作为审核一部分所涵盖的总场所/单位数。如果提供的任何信息被证明是不正确的，ASCP 可能会要求对这些场所单独安排审核。

ASCP shall inspect all sites as part of the audit and shall plan and conduct the audit as per Section 5 of this document./作为审核的一部分，ASCP 应检查所有现场，并应按照本文件第 5 节的规定计划和实施审核。

By ownership we are referring to sites or organizations as being owned directly or indirectly by the same person or entity. This includes cases where one site owns the other, or where both sites are owned by the same parent entity. Partial ownership may also apply providing there is a majority or controlling stake in the additional site./所有权，我们指的是由同一个人或实体直接或间接拥有的场所或组织。这包括一个场所拥有另一个场所，或者两个场所由同一母公司拥有的情况。部分所有权也可以适用，前提是在附加场所多数或控制股权。

7.3 Multi-Site Certification/多场所认证

An organization qualifying for these requirements shall be covered by a single management system, comprising of an identified central function, and a number of sites (permanent, temporary or virtual) at which such processes/activities are fully or partially carried out. The organization and associated sites shall be linked either through:/符合这些要求的组织应当由一个单一的管理体系覆盖，该体系包括一个确定的中心职能以及多个场所（永久的、临时的或虚拟的），在这些场所中完全或部分地执行这些过程/活动。组织及其相关场所应当通过以下方式之一进行链接：

- Ownership or;/共同所有权
- A legal and/or contractual relationship with the central function./与中心职能具有法律和/或合同关系。

The Multi-Site certification shall only apply to sites within the same country as the central function, unless the organization operates as a brand or trader. Brands or traders associated sites shall only be excluded from the country limitation where they operate the same scope as the central function. Meaning that the associated sites shall only operate as brands or traders respectively./多场所认证仅适用于与中心职能位于同一国家内的场所，除非该组织作为品牌或贸易商运营。与品牌或贸易商相关的场所只有在它们与中心职能运营相同的范围时，才不受国家限制的影响。这意味

Better Cotton Chain of Custody Standard-Product Certification Rules

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BCI-OG01	20250430	20250430	20260709	A02

着相关联的场所只能分别作为品牌或贸易商运营。

Sub-contractors shall not be considered as part of the additional sites and shall either be certified independently or need to be managed as per Section 2.7 of the Better Cotton Chain of Custody Standard. ASCP may request a visit to the subcontractor as part of the assessment process./分包商不应被视为附加场所的一部分，必须独立认证或按照《良好棉花监管链标准》第 2.7 节的要求进行管理。ASCP可能会要求在评估过程中访问分包商。

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8. Better Cotton Lead Auditor Competency Requirements 良好棉花审核员能力要求

General 一般要求

Minimum 最低要求

- Experience of conducting relevant third-party audits, including interviewing management, workers and reviewing management system documents.
具备开展相关第三方审核的经验，包括访谈管理层、工人以及审核管理体系文件
- Trained in auditing principles, procedures, techniques, and behaviours as described in ISO 19011. 接受过 ISO 19011 中所述的审核原则、程序、技术和行为方面的培训
- Ability to prepare well-documented third-party verification reports with clear analysis and specific evidence to support conformity / non-conformity findings.
能够编写记录详实的第三方验证报告，包含清晰的分析和具体证据，以支持符合 / 不符合项的发现。
- Ability to manage a variety of stakeholder interests whilst producing independent and unbiased outputs.
能够在产生独立且无偏见的输出时，管理各种利益相关者的利益。
- Strong attention to detail. 高度注重细节。
- Ability to perform basic and operational data analysis.
具备进行基本和运营数据分析的能力。
- Good time management skills. 良好的时间管理技能。
- CoC only: Appropriate technical expertise (within the team) preferably with experience in developing traceability/chain of custody solutions for agricultural commodities.
仅针对监管链（CoC）：（团队内）具备适当的技术专长，最好有开发农产品可追溯性 / 监管链解决方案的经验。

Desirable 理想要求

- The ability to read and speak in the language(s) used by audit stakeholders.
能够阅读和说审核利益相关者使用的语言。
- Relevant university degree. 相关大学学位。
- Previous experience with Better Cotton. 有良好棉花相关工作经验。
- CoC only; previous experience with auditing/ assessing financial and tax documents as they pertain to Chain of Custody and traceability.
仅针对监管链（CoC）：有审核 / 评估与监管链和可追溯性相关的财务和税务文件的经验。

Suggested Verification mechanism for CB 建议的CB验证机制

- CV and Audit log. 简历（CV）和审核日志。
- Qualification/ education/ training certificate. 资质/教育/培训证书。
- Review of previous third-party audit reports. 审核以前的第三方审计报告。

Shadow audit¹.影子审核（见证审核）。
Knowledge and Skills知识与技能
Essential必备条件 - Knowledge of relevant national and local laws and regulations such as environment, labour, health and safety, land and water ownership in the country of verification visits. 了解所在国家的相关国家和地方法律法规，如环境、劳工、健康与安全、土地和水所有权等方面的法规。 - Knowledge of prevailing industry and cotton farming practices. 了解主流行业和棉花种植实践。 - Knowledge of local social and cultural conditions to be considered in the conduct of a verification visit. 了解在进行验证访问时需考虑的当地社会和文化状况。 - Understanding of handling sensitive data, information, documents, records and maintaining confidentiality. 具备处理敏感数据、信息、文件、记录并保持保密性的能力。 - Farm Level only: Ability to identifying and gathering information from local knowledgeable sources, (including human rights, labour, environment, cotton organisations/ individuals and other local institutions). 仅针对农场层面：能够从当地知识渊博的来源（包括人权、劳工、环境、棉花组织 / 个人以及其他当地机构）识别和收集信息。 Suggested Verification mechanism for CB建议的CB验证机制 - CV review.简历审查 - Lead auditor interview.审核组长面试 - Audit log review.审核日志的审查
Understanding of Better Cotton requirements对良好棉花要求的理解
<i>Although an understanding of the Better Cotton system is required at the point of application, training on Better Cotton is mandatory for all auditors.</i> 虽然在申请时需要良好棉花体系有所了解，但所有审核员都必须接受良好棉花方面的培训。 Essential必备条件 - Understanding of the relevant assessing criteria and how to assess farm level compliance with these. 了解相关评估标准以及如何评估农场层面是否符合这些标准。 - Ability to identify areas of non-conformity within audit criteria and triangulate evidence of non-conformity in audit reports. 能够在审核标准中识别不符合项领域，并在审核报告中对不符合项证据进行三角验证。 Suggested Verification Mechanism for CB建议的CB验证机制 - Attend Better Cotton third party auditor training.参加良好棉花第三方审核员培训。 - Pass online audit(s) before undertaking first audit 在进行首次审核之前通过在线考试 - Shadow audit.影子审核（见证审核） - Commitment from auditors to review updates to audit resources. 审核员承诺审核资源的更新情况。

Related Document Name/相关文件名	Type/类型
General Certification Requirements for Certification Bodies认证机构通用要求 https://bettercotton.org/wp-content/uploads/2025/01/General-CertificationRequirements-for-Certification-Bodies1.pdf	Normative/规范

¹ A shadow audit is where a Better Cotton auditor shadows the third-party auditor on an audit to observe and evaluate the auditors performance. This is sometimes also referred to as a witness audit.
影子审核是指良好棉花审核员在审核时跟随第三方审核员观察和评估审核员的绩效。这有时也被称为见证审核。

9.ASCP BCI 3PV Project Fee Structure ASCP良好棉花产品认证项目费率表

		BCI 3PV Project Fee Structure 项目费率表	
This document describes the methods and rules for the quotation of BCI 3PV project, with the aim of ensuring the uniformity and fairness of the quotation mechanism. 为保证报价机制的统一、公平，建立本文件对BCI 3PV项目的报价的方法和规则进行说明和描述。			
说明Note:			
1	差旅费: 按照客户要求实报实销或预估 Travel Cost: reimbursement on an actual or estimated basis in accordance with the client's requirements		
2	认证报价含税, 税费= (认证报价+差旅费)*现行税率 The Certificate fees are tax inclusive, TAX = (certified offer + travel costs)* Current VAT Rate		
3	币种: 人民币 Currency: CNY		
类型 Type		报价 Fee	多场所 (n) Multi-site Fee
P&C 大型农场	农场 Farm	14000	14000+6,500.00*n
CoC 监管链证书	贸易 Trader	11000	11000+6,500.00*n
	工厂 Processor	13000	13000+6,500.00*n
P&C 生产单位		13000	13000+6,500.00*n
Brand Audits 品牌审核		11000	11000+6,500.00*n
On-boarded Re-Audits 组织再审核		10000	10000+6,500.00*n
Annual Self-Assessment 年度自评	现场监督审核 On-set Surveillance Audits	6500	6500+6,500.00*n
	文件评审 Document Review	3000	
注: 若企业初次审核未通过, 重新进行审核时, 需根据初次审核的finding数量收费: 10-15个finding——收取半天审核费 超过15个finding——收取一天审核费			
实报实销/预估 Actual / Estimated			

Revision History历史版本

Revision # 版本号	Effective date 生效日期	Section 章节	Change Description 变更描述
A0/0	30/04/2025	All所有	Initial edition released.初次发布
A1/1	28/02/2026	5.2/5.4/5.7	Add relevant information on BCI OSINT,short-notice audit and Children interview. 补充 BCI 开源情报核查、短通知审核及儿童访谈相关信息。
A02	03/07/2026	5.5/5.11	Add BCI scheme requirements for recording audit findings.新增用于记录审核发现的 BCI 体系要求 Add BCI requirement for notifying BCI within 48 hours in cases involving potential suspension risks. 增加BCI相关要求：在涉及潜在暂停风险的情况下，须于48小时内通知BCI。